### WTO TRADE FACILITATION AGREEMENT AND IMPLEMENTING INSTRUMENTS

*(Text of the WTO TFA for easy reference)*

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### 3. **Advance Rulings**

- **WCO SAFE Framework** of Standards Pillar 2
- **Advise Rulings**
  - **WCO RKC GA Chapter 9 (9.9)**
  - **ICC Customs Guidelines** 43-46
  - Technical Guidelines on Advance Rulings for Classification, Origin and Valuation
  - Practical Guidelines for Valuation Control

### 4. **Appeal or Review Procedures**

- **WCO RKC GA Chapter 10**
- **WTO Valuation Agreement** Article 11
- **ICC Customs Guidelines** 50-51

### 5. Other Measures to Enhance Impartiality, Non Discrimination and Transparency

1. **Notifications for enhanced controls or inspections**
   - **WCO RKC GA Chapter 6 (6.3-6.7)**
   - **OIE Terrestrial Code Section 2 Risk Management**
   - **Codex Alimentarius**
     - CAC/GL 25-1997 - Guidelines for the exchange of information between countries on rejection of imported food
     - CAC/GL 47-2003 Guidelines for Food Import Control System
   - **IPPC**
     - ISPM 1 - Phytosanitary principles for the protection of plants and the application of phytosanitary measures in international trade
     - ISPM 20 - Guidelines for a phytosanitary import regulatory system
   - **WCO - Risk Management Compendium**
     - SW Compendium

2. **Detention**
   - **WCO RKC GA Chapter 3 (3.36)**
   - **Codex Alimentarius**
     - CAC/GL 83-2013 - Principles for the use of sampling and testing in international food trade
   - **WCO - Risk Management Compendium**
     - Compendium of Customs Operational Practices for Enforcement and Seizures (COPES)

3. **Test Procedure**
   - **WCO RKC GA Chapter 3 (3.38)**
   - **Codex Alimentarius**
     - CAC/GL 50-2004 - General Guidelines on sampling
     - CAC/GL 83-2013 - Principles for the use of sampling and testing in international food trade
     - CAC/GL 70-2009 - Guidelines for Settling Disputes on Analytical (Test) Results
   - **WCO Customs Laboratory Guide**
6 DISCIPLINES ON FEES AND CHARGES IMPOSED ON OR IN CONNECTION WITH IMPORTATION AND EXPORTATION

1. **General Disciplines on Fees and Charges Imposed on or in Connection with Importation and Exportation**
   - **WCO**
   - **RKC** GA Chapter 3 (3.32)
   - **WCO** Revised Arusha Declaration

2. **Specific Disciplines on Fees and Charges Imposed on or in Connection with Importation and Exportation**
   - **WCO**
   - **RKC** GA Chapter 3 (3.2, 3.45)
   - **WCO** Revised Arusha Declaration

3. **Penalty disciplines**
   - **WCO**
   - **RKC** GA Chapter 3 (3.39, 3.43)
   - **WCO** Voluntary Compliance Framework

7 RELEASE AND CLEARANCE OF GOODS

1. **Pre-arrival processing**
   - **WCO**
   - **RKC** GA Chapter 3 (3.25)
   - **WCO** SAFE Framework of Standards

2. **Electronic payment**
   - **WCO**
   - **RKC** GA Chapter 7 (7.1)

3. **Separation of release from final determination and payment of customs duties, taxes, fees and charges**
   - **WCO**
   - **RKC** GA Chapter 3 (3.13-3.14, 3.17, 3.40)
   - **WCO** GA Chapter 4 (4.9)
   - **RKC** GA Chapter 5 (5.1-5.7)

4. **Risk Management**
   - **WCO**
   - **RKC** GA Chapter 6 (6.3-6.5)
   - **WCO** SAFE Framework of Standards

5. **Post clearance audit**
   - **WCO**
   - **RKC** GA Chapter 6 (6.6)
   - **WCO** SAFE Framework of Standards
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**Implementation Guidance on Post-Clearance Audit**  
**Risk Management Compendium**  
**WCO**  
**Customs International Benchmarking Manual**  
**WCO**  
**SAFE Framework of Standards**  
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**Customs Guidelines** |
| **7. Trade Facilitation Measures for Authorized Operators** | **WCO**  
**RKC GA Chapter 3 (3.32)**  
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**AEO Implementation Guidance**  
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**AEO Benefits: Contribution from the WCO Private Sector Consultative Group**  
**The Authorized Economic Operator and the Small and Medium Enterprise (FAQ)**  
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**WCO**  
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**CAC/GL 83-2013 - Principles for the use of sampling and testing in international food trade**  
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| **8 BORNER AGENCY COOPERATION** | **9 MOVEMENT OF GOODS UNDER CUSTOMS CONTROL INTENDED FOR IMPORT**  
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8. **Rejected goods**

- WCO
  - RKC GA Chapter 3,
  - RKC GA Chapter 4 (4.19)
  - RKC Specific Annex Chapter 1

9. **Temporary admission of goods/ inward and outward processing**

- WCO
  - Istanbul Convention
  - RKC Specific Annex F
  - RKC Specific Annex G Chapter 1
  - ATA Convention

11 **FREEDOM OF TRANSIT**

- TIR Convention
- IPPC
  - ISPM 25 Consignments in transit
- WCO
  - Transit Handbook

- UNECE
  - Int'l Convention on Harmonization of Frontier Controls

- WCO
  - Specific Annex E Chapter 1
  - Specific Annex E Chapter 2

12 **CUSTOM COOPERATION**

1. **Measures Promoting Compliance and Cooperation**

- UNECE
  - Int'l Convention on Harmonization of Frontier Controls

- WCO
  - General Annex Chapter 6 - 6.7
  - Johannesburg Convention
  - Nairobi Convention

2-12. **C2C exchange of information for the purposes of verifying goods declarations**

- UNECE
  - Int'l Convention on Harmonization of Frontier Controls

- WCO
  - Voluntary Compliance Framework

- WCO
  - Model Bilateral Agreement

23 **INSTITUTIONAL ARRANGEMENTS**

2. **National Committee on Trade Facilitation**

- UNECE/UNCEFACT
  - Recommendation 4 on Trade Facilitation Bodies
  - Recommendation 40 on Consultation Approaches: Best Practices in Trade and Government consultation on Trade Facilitation Matters

- ITC, UNECE, UNCTAD
  - National-Trade-Facilitation-Committees---Moving-towards-implementation